

FORM ADV PART 2B BROCHURE SUPPLEMENT

Alice A. Brown

586 Crescent Blvd. #501 Glen Ellyn, IL 60137 312.513.7486

CB3 FINANCIAL GROUP, INC.

586 Crescent Blvd. #501 Glen Ellyn, IL 60137 312.513.7486 cb3financial.com

This brochure supplement provides information about Alice A. Brown that supplements the CB3 Financial Group, Inc. ("CB3") brochure. Please contact the Compliance Department at 615.684.6274 or compliance@cb3.com if you did not receive CB3's brochure or if you have any questions about the contents of this supplement

Additional information about Alice A. Brown (CRD No. 4832339) is also available on the SEC's website at www.adviserinfo.sec.gov.

CB3 Financial Group, Inc. is a Registered Investment Adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

Dated: January 1, 2024

Alice A. Brown Form ADV Part 2B Brochure Supplement Investment Advisory Rep CRD No: 4832339 Firm IARD/CRD No: 159156 01/01/2024

TABLE OF CONTENTS

| EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE | . 1 |
|--|-----|
| DISCIPLINARY INFORMATION | . 1 |
| OTHER BUSINESS ACTIVITIES | . 1 |
| ADDITIONAL COMPENSATION | . 1 |
| SUPERVISION | . 2 |
| REQUIREMENTS FOR STATE-REGISTERED ADVISERS | 2 |

Alice A. Brown Form ADV Part 2B Brochure Supplement Investment Advisory Rep CRD No: 4832339 Firm IARD/CRD No: 159156 04/10/2023

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Alice A. BROWN was born in 1957. She graduated from the University of Puerto Rico with a BS in Economics in 1978. She also graduated from the University of Maryland with an AA in Business and Management in 1989.

When CB3 became registered as an RIA in 2011, Ms. Brown became an investment adviser representative and Chief Compliance Officer. Ms. Brown had been registered as a securities agent and investment adviser representative for World Equity Group, Inc. from 2005 - 2013.

Ms. Brown has successfully passed the Series 65 Uniform Investment Adviser Law exam.

DISCIPLINARY INFORMATION

Ms. Brown does not have any disciplinary information to disclose. She has not: (a) been party to a criminal or civil action in a domestic, foreign or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

OTHER BUSINESS ACTIVITIES

Ms. Brown has no outside business activities.

ADDITIONAL COMPENSATION

Ms. Brown does not receive any additional economic benefit from third parties for providing advisory services.

Alice A. Brown Brochure Supplement

SUPERVISION

Each investment advisor representative is supervised through the CB3 Financial Group home office. Prior to each account being opened, a firm supervisory principal reviews each account for suitability and appropriateness of the investment recommendation and/or managed account program. For existing accounts, the activity and recommendations made are monitored through the trade review process and periodic account reviews are completed by firm supervisory principals. The firm's Compliance Department also conducts periodic account reviews and audits each investment advisor representative office on a regularly scheduled basis. Ms. Brown can be reached directly at 615.684.6260.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Ms. Brown has not been involved in: (a) any arbitration claim; (b) any civil, self-regulatory organization, or administrative proceeding; or (c) a bankruptcy petition.