



FORM ADV PART 2B BROCHURE SUPPLEMENT

Charles Brown, III

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312.513.7486

CB3 FINANCIAL GROUP, INC.

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This brochure supplement provides information about Charles Brown, III that supplements the CB3 Financial Group, Inc. ("CB3") brochure. You should have received a copy of this brochure. Please contact the Compliance Department at 615.684.6274 or compliance@cb3.com if you did not receive CB3's brochure or if you have any questions about the contents of this supplement

Additional information about Charles Brown, III (CRD No. 4760377) is also available on the SEC's website at www.adviserinfo.sec.gov.

CB3 Financial Group, Inc. is a Registered Investment Adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

Dated: April 10, 2023

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Investment Advisory Rep CRD No: 4760377
Firm IARD/CRD No: 159156
04/10/2023

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

CHARLES BROWN, III was born in 1955. He graduated from the University of Cincinnati College Conservatory of Music with a Bachelor of Fine Arts in 1981.

Mr. Brown was previously registered as a securities agent and investment adviser representative for World Equity Group, Inc. from 2005-2013. He formed CB3 in 2006 which became registered as an investment advisor in 2011.

Mr. Brown has successfully passed several securities-industry examinations including the Series 65 Uniform Investment Adviser Law exam. He has also passed the Chartered Market Technician (CMT) exam.

DISCIPLINARY INFORMATION

Mr. Brown does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

OTHER BUSINESS ACTIVITIES

Mr. Brown is occasionally composing music. He spends about 5% of his time on this activity.

ADDITIONAL COMPENSATION

Mr. Brown does not receive any additional economic benefit from third parties for providing advisory services.

SUPERVISION

Each investment advisor representative is supervised through the CB3 Financial Group home office. Prior to each account being opened, a firm supervisory principal reviews each account for suitability and appropriateness of the investment recommendation and/or managed account program. For existing accounts, the activity and recommendations made are monitored through the trade review process and periodic account reviews are completed by firm supervisory principals. The firm's Compliance Department also conducts periodic account reviews and audits each investment advisor representative office on a regularly scheduled basis. Ms. Alice A. Brown, compliance officer, is the person responsible for supervising the advisory activities of Charles Brown, III. Ms. Brown can be reached directly at 630.740.7911.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Mr. Brown has not been involved in: (a) any arbitration claim alleging damages in excess of \$2,500; (b) any civil, self-regulatory organization, or administrative proceeding; or (c) a bankruptcy petition.